

References:

- [Z]: Zhu - Modular invariance of characters of VOA's
- [CK]: Gaberdiel, Keller - Modular differential eq's and null vectors
- [AN]: Araki, Nagatomo - Some remarks on pseudo-trace fct's for orbifold models assoc. with symplectic homom.

Main result of the talk:

Zhu: Let V be a VOA, s.t. remember Lorenz's talk:

- (i) V is C_2 -cofinite $\leftarrow C_2$ cofinit $\approx \frac{1}{24} \text{h.d.}$
 \leftarrow in part: highly many irreps
- (ii) every module is a direct sum of irreps (semisimple)

\Rightarrow (1) $\text{Ch}(\Pi; q) := \text{tr}_{\Pi} q^{L_0 - \frac{c}{24}} = \sum_{n=0}^{\infty} \dim(\Pi_n) q^{n - \frac{c}{24}}$ converge for $|q| < 1$

These are the "chiral halves" of the 1-pt correlators on the torus from Lorenz's talk. Without assumptions it is not clear why these should be holomorphic fct's.

(2) The space spanned by the holomorphic fct's $\text{Ch}(\Pi; q) (q = e^{2\pi i \tau}) \in \mathcal{H}(\mathcal{H})$ carries an $SL(2, \mathbb{Z})$ -action.

Remarks:

- ! Semisimplicity: 1-pt fct's span space of 1-pt CB's
- ! Short explanation: interpret them as 1-pt conformal blocks on torus!
- It is furthermore true that this action is compatible with the canonical $SL(2, \mathbb{Z})$ -action on the TTC of V -modules.

§1. 1-pt functions on the torus

In order to prove the above result, it is helpful to look at q -traces with one insertion:

(1) $\text{tr}_{\Pi} \Psi_{\Pi}(a, z) q^{L_0}$

This corresponds to the geometric process of identifying w and qw in $\mathbb{C} \setminus \{0\}$
 \rightarrow torus: $\mathbb{C} \setminus \{0\} / \langle w \sim qw \rangle$

Remember: module: $(\Pi = \bigoplus_{n \in \mathbb{Z}} \Pi_n, \Psi_{\Pi}(-, z))$, s.t. Π_n bounded from below

$a_n |_{\Pi_k} \rightarrow \Pi_{k + \text{deg } a - 1 - n}$

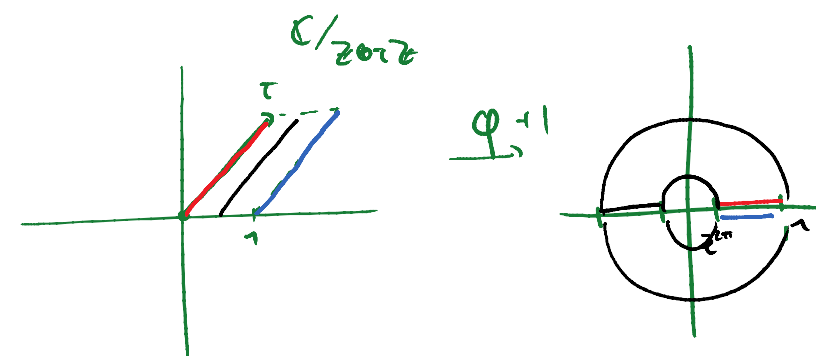
$\Rightarrow \text{tr}_{\Pi} \Psi_{\Pi}(a, z) q^{L_0} = \sum_m \text{tr}_{\Pi} (a_m q^{L_0}) z^{-m-1}$
 graded trace $\text{tr}_{\Pi}(a) = \sum_k \text{tr}_{\Pi_k}(a) q^k$

But: We want to work on the torus $\mathbb{C}/(z \sim z+1)$!

\Rightarrow Coordinate transformation: $q: \mathbb{C} \rightarrow \mathbb{C} \setminus \{-1\}$ \leftarrow changes the global topology \rightarrow has 1000s!
 $z \mapsto e^{2\pi i z} - 1$

(i) transforms as

(2) $F(a, z, q) := e^{2\pi i z \text{deg } a} \text{tr}_{\Pi} \Psi_{\Pi}(a, e^{2\pi i z}) q^{L_0 - \frac{c}{24}}$ (torus 1-pt function)



We can pullback the VOA $(V, \Psi(-, z), \omega)$ on the sphere along q in order to get a new VOA on the cylinder $(V, \Psi[-, z], \tilde{\omega})$, where

(3) $\Psi[\omega, z] = e^{2\pi i z \text{deg } \omega} \Psi(\omega, q(z)) = \sum \omega[n] z^{-n-1}$
 $\tilde{\omega} = \omega - \frac{c}{24} |0\rangle$

Remember

The partition function $Z(\tau)$ is the trace over the "propagator" on the cylinder \leftarrow 1000s!

$Z(\tau) = \text{tr}_{\mathcal{H}} \exp(2\pi i (\tau L_0 - \bar{\tau} \bar{L}_0))$, where $\tau = t + is$

We have $L_0 = L[0] - \frac{c}{24}$
 L_0 defined by $\Psi(\omega, z) = \sum L_n \omega$

We want to take a closer look at $F(a, z, q)$:

$F_{\Pi}(a, z, q) = e^{2\pi i z \text{deg } a} \sum_m \text{tr}_{\Pi} (a_m e^{2\pi i z L_0}) q^{L_0 - \frac{c}{24}}$

$\stackrel{h=0}{=} \text{tr}_{\Pi} o(a) q^{L_0 - \frac{c}{24}}$, where $o(a) = a_{\text{deg } a - 1}$ is the zero mode (degree preserving)

$\Rightarrow F(a, z, q)$ depends only on the zero mode of a and not on z !

In analogy to (2), we can define n -pt functions on the torus and a general recursion formula, coming from the locality of the VOA and the cyclicity of the trace, leads to:

$P_i(z, \tau) := \sum_{n \in \mathbb{Z}} \frac{e^{2\pi i n z}}{1 - q^n} = \frac{1}{z}$

(4) $F_{\Pi}((a, z), (b, w), q) = \text{tr}_{\Pi} o(a) o(b) q^{L_0 - \frac{c}{24}} = \sum_{m \geq 1} \frac{(-1)^m}{m!} P_i^{(m)}(z-w, q) F_{\Pi}(o(a[m]b), q)$

As they live on the torus, n -pt fcn's should be elliptic, i.e. periodic wrt the lattice $z\pi i \cdot (\mathbb{Z} + \tau\mathbb{Z})$

Let Γ_τ denote the set of all meromorphic elliptic functions wrt $z\pi i \cdot (\mathbb{Z} + \tau\mathbb{Z}) = \Lambda_\tau$

It turns out that $\Gamma_\tau = \mathbb{C}[p(z,\tau), p'(z,\tau)]$, where

$$(5) \quad p(z,\tau) := \frac{1}{z^2} + \sum_{w \in \Lambda_\tau \setminus \{0\}} \left(\frac{1}{(z-w)^2} - \frac{1}{w^2} \right) \quad (\text{Weierstrass function})$$

For the canonical $\delta(z\mathbb{Z})$ -action $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot (z,\tau) = \left(\frac{z}{c\tau+d}, \frac{a\tau+b}{c\tau+d} \right)$ we obtain $p(\sigma \cdot (z,\tau)) = (c\tau+d)^2 p(z,\tau)$.

Moreover, we have $p(z,\tau) = \frac{1}{z^2} + \sum_{k \geq 2} (2k-1) E_{2k}(\tau) z^{2k-2}$

Here E_{2k} are the Eisenstein series. It is known that E_4 and E_6 span all modular forms of (i.e. $f\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^k f(\tau)$). E_2 is quasi-modular.

$\mathcal{P}_i^{(k)}$ can be written as derivatives of the Weierstrass fcn \Rightarrow elliptic!

Thus, 2-pt function elliptic if 1-pt fcn is elliptic (\Leftrightarrow converges)

and $\text{tr} \circ(a) \circ(b) q^{L_0 - \frac{c}{24}}$ is elliptic (\Leftrightarrow converges).

By associativity, we also have $F_n(a,\tau), (b,w), q = \sum_n F_n(a[-n]b, \tau) (z-w)^{-n-1}$

In (4), we obtain

$$(6) \quad F_n(\text{tr} \circ(a[-1]b), q) = \text{tr} \circ(a) \circ(b) q^{L_0 - \frac{c}{24}} + \sum_{k \geq 1} E_{2k}(q) F_n(\text{tr} \circ(a[2k-1]b), q)$$

coefficients in $\mathbb{C}[E_2, E_4, E_6]$

Lemma 1: Define $\mathcal{O}_q(V)$ as the space generated by all states $v \in V$ of the form

$$v = a[-2]b + \sum_{k \geq 2} (2k-1) E_{2k}(\tau) a[2k-2]b \quad \left(\begin{array}{l} \text{in particular,} \\ \mathcal{O}_q(V) \in \underbrace{V[E_4, E_6]}_{= V \otimes \mathbb{C}[E_4, E_6]} \end{array} \right)$$

$$\Rightarrow F_n(\text{tr} \circ(v), q) = 0.$$

pf: Set $a = L[-1]\tilde{a} = (2\pi i)^2 (L_{-1} + L_0)\tilde{a} \Rightarrow \text{tr} \circ(a) = 0$.

Plug in a in (6) \Rightarrow

$$0 = \text{tr} \circ(a) \circ(b) q^{L_0 - \frac{c}{24}} = \underbrace{F_n(\text{tr} \circ(a[-1]b), q)}_{\tilde{a}[-2]b} + \sum_{k=2} (2k-1) E_{2k}(\tau) F_n(\text{tr} \circ(\tilde{a}[2k-2]b), q)$$

Later, we prove: □

Lemma 2: If V is C_2 -coherent, then for every $a \in V \exists s \in \mathbb{N}, g_i \in \mathbb{C}[E_4(q), E_6(q)]$, s.t.

$$(7) \quad L[-2]^s a + \sum_{i=0}^{s-1} g_i(q) \cdot L[-2]^i a \in \mathcal{O}_q(V)$$

Lemma 3: Let $a \in V$ be a highest weight vector for the Virasoro algebra, then

$$(8) \quad \overline{F}(L[-i_1] \dots L[-i_k] a, q) = \sum_{i=0}^{\infty} g_i(q) \left(q \frac{d}{dq} \right)^i \overline{F}(a, q)$$

for $g_i(q) \in \mathbb{C}[E_2(q), E_4(q), E_6(q)]$ this is proven by induction, using (6)

Combining lemmas 1, 2 and 3, we obtain a regular differential eq'n for $\overline{F}_M(a, q)$.

$$(9) \quad \left(\left(q \frac{d}{dq} \right)^s + \sum_{i=0}^{s-1} h_i(q) \left(q \frac{d}{dq} \right)^i \right) \overline{F}_M(a, q) = 0$$

- $\in \mathbb{C}[E_2(q), E_4(q), E_6(q)]$
- converges on every closed subset of $|q| < 1$
- depends on a set M

$$\Rightarrow \overline{F}_M(a, e^{2\pi i \tau}) \in \text{Hol}(\mathbb{H})$$

Example: (Yang-Lee) We look at the \mathcal{H}_L -minimal model at level $c = -\frac{22}{5}$.

It has two irreducible rep's, the vacuum ($h=0$) and a rep at $h = -\frac{1}{5}$.

A null vector for the vacuum rep'n is given by

$$0 = N = (L[-4] - \frac{5}{3} L[-2]) |0\rangle \in \mathcal{O}_q(V)$$

Note that we want to derive the differential eq'n for both characters by just using one highest weight vector!

For the minimal models, we always have $L[-4]|0\rangle \in \mathcal{O}_q(V)$ and hence we want to find the eq'n corresponding to $L[-2]|0\rangle \in \mathcal{O}_q(V)$.

Using lemma 3, we obtain

$$\overline{F}(L[-2]|0\rangle, q) = \mathcal{P}_r(D) \overline{F}(|0\rangle, q) \quad \text{where } D = q \frac{d}{dq} \text{ and } \mathcal{P}_r(D) \in \mathbb{C}[E_4, E_6][D]_r$$

$$\text{Computing } \mathcal{P}_2(D) = (2\pi i)^4 \left(D^2 + \frac{c}{1440} E_4(q) \right) = (2\pi i)^4 \left(D^2 + \frac{11}{3600} E_4(q) \right)$$

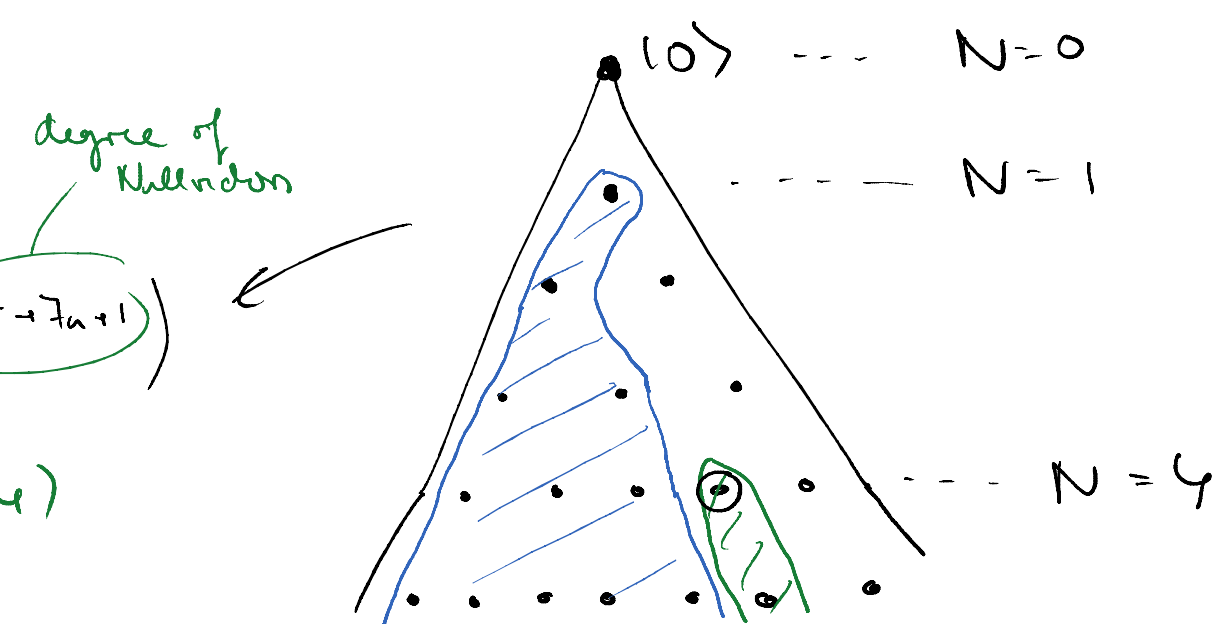
$$0 = \overline{F}(L[-2]^2 |0\rangle, q) = (2\pi i)^4 \left[\left(q \frac{d}{dq} \right)^2 - \frac{11}{3600} E_4(q) \right] \mathcal{Ch}_0(q)$$

Two characters of $\mathcal{H}_L \leftrightarrow$ two solutions of (6), namely

$$\mathcal{Ch}_0(q) = \frac{1}{\eta(q)} \sum_{n \in \mathbb{Z}} \left(q^{\frac{(20n-3)^2}{40}} - q^{\frac{(20n+7)^2}{40}} \right) = q^{-\frac{c}{24}} \frac{q^{\frac{1}{24}}}{\eta(q)} \sum_n \left(q^{10n^2-3n} - q^{10n^2+7n+1} \right)$$

$$\mathcal{Ch}_{-\frac{1}{5}}(q) = \frac{1}{\eta(q)} \sum_{n \in \mathbb{Z}} \left(q^{\frac{(20n-1)^2}{40}} - q^{\frac{(20n+9)^2}{40}} \right), \text{ where}$$

$\eta(q) = q^{\frac{1}{24}} \prod (1-q^n)$ is the Dedekind eta function.



§2. C_2 -cofiniteness Remembers that above, we claimed that if V is C_2 -cofinite, then for every $a \in V$, we had $s, g_i(g), s.l.$

$$(7) \quad L[-2]^s a + \sum_{i=0}^{s-1} g_i(g) \cdot L[-2]^i a \in O_g(V).$$

Remembers that V is C_2 -cofinite $\Leftrightarrow V/C_2(V)$ fin. dim., where $C_2(V) := \langle a(-2)b \rangle$

By definition, $L[-i_1] \dots L[-i_k] a$ form a basis for highest weight vectors a .

Lemma 4: If V is C_2 -cofinite then $V[E_4(g), E_6(g)]/O_g(V)$ is a finitely generated $\mathbb{C}[E_4, E_6]$ -module. \square

In particular, it is noetherian, and hence every submodule is finitely gen.
In particular, the submodules generated by $L[-2]^i a, i \in \mathbb{N}$.

$\Rightarrow C_2$ -cofiniteness implies (7)

§3. Toms 1-pt conformal blocks:

Note that one actually has to define a system of n-pt CB's, leading to a conformal block!

Dfn: A map $S: V \otimes \mathbb{C}[E_4, E_6] \times \mathbb{H} \rightarrow \mathbb{C}$ is called a 1-pt. CB on the torus if

- (i) $S(a, \tau)$ is holomorphic in $\tau \in \mathbb{H}$
- (ii) $S(\sum a_i \otimes f_i, \tau) = \sum f_i(\tau) S(a_i, \tau)$
- (iii) $S(a, \tau) = 0$ for $a \in O_g(V)$
- (iv) $\forall a \in V[g]: S(L[-2]a, \tau) = (2\pi i)^2 q \frac{d}{dq} S(a, \tau) + \sum_{k=1}^{\infty} C_{2k}(\tau) S(L[2k-2]a, \tau)$
(grading wrt $L[0]$)

From the dfn it is already clear that 1-pt CB's on the torus behave similar as 1-pt functions on the torus $F(o(a), g)$.

In fact, in the previous sections, we have actually proven that

$S_H(a, \tau) := F(o(a), e^{2\pi i \tau})$ defines a 1-pt conformal block if

V is C_2 -cofinite.

One of the main motivations for the above definition is the

following theorem:

Thm: [Zhu] *Does it for n-pt fctn's*

Let S be a 1-pt CB on the torus and $\sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$

$\Rightarrow (\sigma.S)(v, \tau) := (c\tau + d)^{-\text{deg } a} S(v, \frac{a\tau + b}{c\tau + d})$ is a 1-pt fctn on the torus.

$\Rightarrow (\sigma.S)(v, \tau) := (c\tau + d)^{-2} S\left(v, \frac{a\tau + b}{c\tau + d}\right)$ is a 1-pt form on the torus.

$\Rightarrow SL(2, \mathbb{Z})$ acts on space of 1-pt CB's

pf: All clear, except for (iii) & (iv)

Thm: Let V be C_2 -cofinite

- the space of 1-pt form's is finite dim.
- if V is also rational, the torus 1-pt form's have a basis S_{π_i} for $\{\pi_i\}$ complete list of isop's